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PTO/SB/21 (02-04)

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Application Number	10/696,282
Filing Date	October 29, 2003
First Named Inventor	Wilson et al
Art Unit	1635
Examiner Name	Brian A. Whiteman
Attorney Docket Number	GNV31BUSA/UPN-K1774DIV1

Total Number of Pages in This Submission

4

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
(37 CFR 1.53)
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
- ☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation
Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance communication
to Technology Center (TC)
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please
identify below):

2-Documents

Remarks

Express Mail No. ER635161336US
Customer No 00270

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name
HOWSON AND HOWSON
Cathy A. Kodroff

Signature
Cathy A. Kodroff

Date
September 10, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name		Date	
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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GNV31BUSA/UPN-K1774DIV1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 10/696,282 Confirmation No.: 7675
Applicant : Wilson et al
Filed : October 29, 2003
TC/A.U. : 1635
Examiner : Brian A. Whiteman
Customer No. : 00270
Title : ADENO-ASSOCIATED VIRUS SEROTYPE 1
NUCLEIC ACID SEQUENCES, VECTORS AND
HOST CELLS CONTAINING SAME

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sirs:


Applicant submits to the Examiner the attached Form PTO/SB/08B document listing and this paper pursuant to 37 CFR § 1.56 and § 1.97-1.98. Form PTO/SB/08B is attached and copies of the documents are enclosed herewith. This Information Disclosure Statement is submitted more than three months from the filing date of this application and before the receipt of a first Office Action on the merits. Therefore, no fees are believed due.

The Director is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees to our Deposit Account Number 08-3040.

The Examiner is respectfully requested to consider the enclosed document identified in this paper and in the attached Form PTO/SB/08B during the course of examination of this application.

Respectfully submitted,

HOWSON AND HOWSON
Attorneys for Applicant

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/696,282
Filing Date	October 29, 2003
First Named Inventor	Wilson et al
Group Art Unit	1635
Examiner Name	Brian A. Whiteman
Attorney Docket Number	GNV31BUSA/K1774DIV1

Sheet	1	of	1
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NONPATENT LITERATURE DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXAMINER:
considered. Include copy of this form with next communication to applicant.
* Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
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